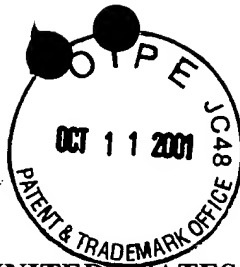


Attorney Docket No.
32149631.3



10-12007

U.S. Patent Application No.
09/911,080

Receipt
#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Gao et al	§	Group Art No.:	2661
	§		
Serial No.: 09/911,080	§	Examiner:	Not Yet Assigned
	§		
Filed: July 20, 2001	§	Atty. Docket No.:	32149631.3
	§		
For: Broadband Ethernet Video Data Transmission	§		
	§		

Office of Initial Patent Examination
Customer Service Center
Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

Dear Commissioner:

Attached is a copy of the official Filing Receipt received from the United States Patent and Trademark Office in the above application for which issuance of a corrected filing receipt is respectfully requested.

An error was made at the time of filing stating that the application should be awarded "small entity" status. Applicants respectfully request that the application be amended to reflect large entity status. Applicants have paid the large entity filing fee in the Response to Notice to File Missing parts of Nonprovisional Application concurrently filed herewith.

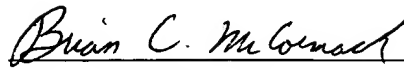
Additionally, Applicants respectfully request that the Attorney Docket Number be amended to reflect "32149631.3".

Applicants believe that no fees are required in connection with this filing. However, to the extent that fees are required, the Commissioner is authorized to charge those fees, or credit any overpayment, to Account No. 13-0480, Attorney Docket No. 32149631.3.

Attorney Docket No.
32149631.3

U.S. Patent Application No.
09/911,080

Respectfully submitted,



Brian C. McCormack
Registration No. 36,601

Date: October 11, 2001
BAKER & McKENZIE
2001 Ross Avenue
2300 Trammell Crow Center
Dallas, Texas 75201
Telephone: (214) 978-3000
Facsimile: (214) 978-3099



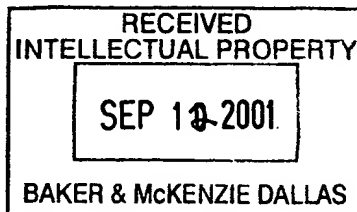
DOCKETED
CA

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/911,080	07/20/2001	2661	0.00	32149361.1B	4	8	4

BRIAN C. McCORMACK
BAKER & MCKENZIE
SUITE 2300
2001 ROSS AVENUE
DALLAS, TX 75201



CONFIRMATION NO. 2903 ✓

FILING RECEIPT



OC00000006519991

Date Mailed: 09/06/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Wei Gao, Shanghai, CHINA;
Hong Cheng Lu, Shanghai, CHINA;
Ming Tao Huang, Shanghai, CHINA;
Hanzhong Gao, Germantown, MD;

Domestic Priority data as claimed by applicant

Foreign Applications

CHINA 00 1 19491.7 07/20/2000 ✓

If Required, Foreign Filing License Granted 09/05/2001 ✓

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Broadband Ethernet video data transmission ✓

Preliminary Class



#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Gao et al	§	Group Art No.: 2661
	§	
Serial No.: 09/911,080	§	Examiner: Not Yet Assigned
	§	
Filed: July 20, 2001	§	Atty. Docket No.: 32149631.3
	§	
For: Broadband Ethernet Video Data Transmission	§	
	§	

**RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION**

EXPRESS MAIL MAILING LABEL NO.: <u>EL698812696US</u>
DATE OF DEPOSIT: <u>10-11-01</u>
I, Christi Pingleton, hereby certify that this correspondence is being deposited on the date shown above with sufficient postage with the United States Postal Service as Express Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.
<u>Christi Pingleton</u> Signature
<u>10-11-01</u> Date

Commissioner of Patents
Washington, D.C. 20231
Attn: Initial Patent Examination Division

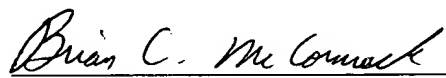
Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application, mailed September 6, 2001 enclosed are the following:

1. A copy of the "Notice to File Missing Parts of Nonprovisional Application";
2. A check in the amount of \$920 to accommodate the filing fee and surcharge for a large entity; and
3. Request to Correct Filing Receipt.

An error was made at the time of filing stating that the application should be awarded "small entity" status. Applicants respectfully request that the application be amended to reflect large entity status. The fee enclosed reflects the large entity status. Applicants have requested that the filing receipt be amended to reflect the large entity status and enclose a Request to Correct Filing Receipt accordingly.

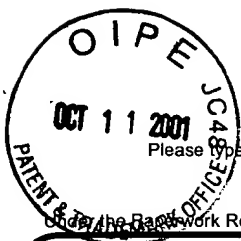
Respectfully submitted,



Brian C. McCormack
Reg. No. 36,601

ATTORNEY FOR APPLICANTS

Date: October 11, 2001
BAKER & MCKENZIE
2001 Ross Avenue, Suite 2300
Dallas, TX 75201
214/978-3043
214/978-3099 fax



Please use a plus sign (+) inside this box → ☒

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/911,080
Filing Date	07/20/2001
First Named Inventor	Gao
Group Art Unit	2661
Examiner Name	Not yet assigned
Attorney Docket Number	32149631.3

Total Number of Pages in This Submission

10

ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☒ Fee Attached
- ☐ Amendment / Reply
 - ☐ After Final
 - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

**Request to Correct Filing Receipt
Check for filing fee and surcharge
Postcard acknowledgement**

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

**Brian C. McCormack
BAKER & McKENZIE**

Signature

Brian C. McCormack

Date

10/11/2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: **10/11/2001**

Express EL6988 2696

Typed or printed name

Christi Pingleton

Signature

Christi Pingleton

Date

10/11/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

OCT 11 2001

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FREE TRANSMITTAL
for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 920.00

Complete if Known

Application Number	09/911,080
Filing Date	07/20/2001
First Named Inventor	Gao
Examiner Name	Not Yet Assigned
Group Art Unit	2661
Attorney Docket No.	32149631.3

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	130480
Deposit Account Name	BAKER & MCKENZIE

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	710.00
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) 710.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
8	-20** = 0	18	0
4	-3** = 1	80	80.00
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 80.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	130.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 130.00

SUBMITTED BY

Name (Print/Type) Brian C. McCormack

Registration No. 36,601
(Attorney/Agent)**Complete (if applicable)**

Telephone 214/978-3000

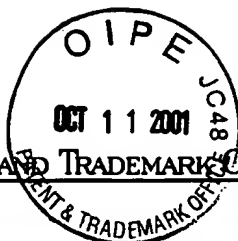
Signature

Brian C. McCormack

Date 10/11/2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents Washington DC 20231



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/911,080	07/20/2001	Wei Gao	32149361.1B

CONFIRMATION NO. 2903

FORMALITIES LETTER



OC00000006519992

BRIAN C. McCORMACK
BAKER & MCKENZIE
SUITE 2300
2001 ROSS AVENUE
DALLAS, TX 75201

Date Mailed: 09/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$80.
 - \$80 for 1 independent claims over 3 .
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 920.

A copy of this notice MUST be returned with the reply.


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/13/2001 SMINASS1 00000055 130480 09911080

09/13/2001	130.00	OP
09/13/2001	84.00	OP
09/13/2001	34.00	CH
	708.00	OP